Docket No.: M4065.0904/P904

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Chandra Mouli

Application No.: 10/602,716

Group Art Unit: 2811

Filed: June 25, 2003

Examiner: Colleen Ann Matthews

For: TAILORING GATE WORK-FUNCTION IN

**IMAGE SENSORS** 

Allowed: September 24, 2007

## REQUEST FOR ACKNOWLEDGEMENT OF REFERENCES CITED

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Applicants' undersigned representatives respectfully request that the Examiner acknowledge the references cited on Forms PTO/SB/08a/b originally filed on September 26, 2003, December 14, 2004 and December 8, 2006 along with the Information Disclosure Statements. Copies of the original submissions along with copies of the PTO stamped postcard receipts, are being filed herewith for convenience.

Dated: October 17, 2007

Respectfully submitted,

Thomas J. D'Amico

Registration No.: 28,371 DICKSTEIN SHAPIRO LLP

1825 Eye Street NW

Washington, DC 20006-5403

(202) 420-2200

Atty Docket No.: M4065.0904/P904

Inventor: Chandra Mouli

**Application No.:** 10/602,716-Conf. #9955 **Fili** 

Filing Date: June 25, 2003

Title: TAILORING GATE WORK-FUNCTION IN IMAGE SENSORS

**Documents Filed:** 

IDS (Citation) by Applicant (1 page) w/4 references

Information Disclosure Statement (2 pages)

Via: Daily PTO Run

Sender's Initials: TJD/EP/kl

Date: September 26, 2003

1660797 W1- ZEDB011 DOC \_\_\_\_\_\_

Docket No.: M4065.0904/P904

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Chandra Mouli

Application No.: 10/602,716

Confirmation No.: 9955

Filed: June 25, 2003

Art Unit: 2811

For: TAILORING GATE WORK-FUNCTION

IN IMAGE SENSORS

Examiner: Not Yet Assigned

# **INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of each reference on PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an

admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. M4065.0904/P904.

Dated: September 26, 2003

Respectfully submitted,

Thomas J. D'Amico

Registration No.: 28,371

Elizabeth Parsons

Registration No.: 52,499

DICKSTEIN SHAPIRO MORIN &

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Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Sut	bstitute for form 1449A/B/PT	· · ·		Complete if Known		
				Application Number	10/602,716-Conf. #9955	
11	<b>VFORMATION</b>	1 DI	SCLOSURE	Filing Date	June 25, 2003	
S	TATEMENT E	3Y /	APPLICANT	First Named Inventor	Chandra Mouli	
				Art Unit	2811	
	(Use as many sh	eets as	s necessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	M4065.0904/P904	

	U.S. PATENT DOCUMENTS								
Evaminer	Cito	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where				
Examiner Initials*	Cite No. <sup>1</sup>	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear				

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\)Applicant's unique citation designation number (optional). \(^2\)See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \(^3\)Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\)For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\)Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \(^6\)Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>				
	CA	Nadia Lifshitz – "Dependence of the Work-Function Difference Between the Polysilicon Gate and Silicon Substrate on the Doping Level in Polysilicon," IEEE Transactions on Electron Devices, Vol. Ed-32, No. 3, March 1985.					
	СВ	Tsu-Jae King et al. – "Electrical Properties of Heavily Doped Polycrystalline Silicon- Germanium Films," IEEE Transactions on Electron Devices, Vol. 41, No. 2, February 1994.					
	CC	Sunetra Mendis et al. – "CMOS Active Pixel Image Sensor," IEEE Transactions on Electron Devices, Vol. 41, No. 3, March 1994.					
	CD	R. H. Nixon et al. – "256 x 256 CMOS Active Pixel Sensor Camera-on-a-Chip," IEEE Journal of Solid-State Circuits, Vol. 31, No. 12, December 1996.					

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Atty Docket No.: M4065.0904/P904

Inventor: Chandra Mouli

Application No.:

10/602,716-Conf. #9955

Filing Date: June 25, 2003

Title: TAILORING GATE WORK-FUNCTION IN IMAGE SENSORS

Documents Filed:

Sup. Information Disclosure Statement (2 pages)

Sup. IDS Citation (1 page) w/8 references

Via: Daily PTO Run

Sender's Initials: TJD/EP/kl CBC12-14-0f

Date: December 14, 2004

Docket No.: M4065.0904/P904 (PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Chandra Mouli

Application No.: 10/602,716

Confirmation No.: 9955

Filed: June 25, 2003

Art Unit: 2811

For:

TAILORING GATE WORK-FUNCTION

IN IMAGE SENSORS

Examiner: D. Kang

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR 1.97, Applicant hereby makes of record the following additional documents. A PTO Form SB/08 and a full copy of each of the documents required under 37 CFR 1.98(a)(2) accompany this statement.

Applicant has become aware of the following documents, cited in an International Search Report issued November 30, 2004, in international application no. PCT/US2004/020010, which corresponds to the above referenced application, and in accordance with 37 CFR 1.97(c) and (e)(1) or (b)(3), hereby submits these documents for the Examiner's consideration. These documents are cited on the enclosed PTO Form SB/08, and a copy of the International Search Report and of each document required under 37 CFR 1.98(a)(2) cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed *per* 

se as a representation that such document is prior art. Moreover, Applicant understands the Examiner will make an independent evaluation of the cited documents.

The undersigned hereby certifies that each item contained in this Supplemental Information Disclosure Statement was cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement. Furthermore, in accordance with 37 CFR 1.704(d), Applicant notes that to our knowledge this communication was not received by any individual designated in 1.56(c) more than thirty days prior to the filing of this statement.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. M4065.0904/P904.

Dated: December 14, 2004

Respectfully submitted,

Thomas J. D'Amico

Registration No.: 28,371

Elizabeth Parsons

Registration No.: 52,499

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OSHINSKY LLP

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Washington, DC 20037-1526

(202) 785-9700

PTO/SB/08a/b (08-03)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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S	TATEMENT E	3Y /	APPLICANT	First Named Inventor	Chandra Mouli	
				Art Unit	2811	
	(Use as many sh	eets as	s necessary)	Examiner Name	D. Kang	
Sheet	1	of	1	Attorney Docket Number	M4065.0904/P904	

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	AA	2002/0190287	12/19/2002	Mann et al.			

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
	BA	EP 0 798 785	10/01/1997							
	BB	JP 01-232765	09/18/1989			X				

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	CA	Kim, K.T. et al. – "Tungsten Silicide/Titanium Nitride Compound Gate," June 4, 1990, Symposium on VLSI Technology, Honolulu, June 4-7, 1990, New York, IEEE, US, pgs 115-116.	
	СВ	Long, Wei et al. – "Dual-Material Gate (DMG) Field Effect Transistor," May 1999, IEEE Transactions on Electron Devices, IEEE Inc. New York, US, pgs. 865-870.	
	CC	Ponomarev, Y.V. et al. – "Gate-Workfunction Engineering Using Poly-(Si,Ge) for High-Performance 0.18 µm CMOS Technology," International Electron Devices Meeting 1997, IEDM Technical Digest, Washington, DC, Dec. 7-10, 1997, New York, NY, IEEE, US, 12/7/1997, pgs. 829-832.	
	CD	Yagishita, Atsushi et al. – "Dynamic Threshold Voltage Damascene Metal Gate MOSFET (DT-DMG-MOS) Technology for Very Low Voltage Operation of Under 0.7 V," IEEE Transactions on Electron Devices, IEEE Inc. New York, US, vol. 49, no. 3, March 2002, pges. 422-428.	
	CE	International Search Report dated 11/30/2004.	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

16

Atty Docket No.: M4065.0904/P904

Chandra Mouli Inventor:

**Application No.:** 10/602,716 **Filing Date:** June 25, 2003 **Title:** TAILORING GATE WORK-FUNCTION IN IMAGE SENSORS

**Documents Filed:** 

Second Supplemental Information Disclosure Statement w/Form PTO SB/08, one reference and copy Korean Office Action w/translation

Via: PTO Daily Run

Sender's Initials: TJD/HJM/rrl

Date: December 7, 2006



Docket No.: M4065.0904/P904

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Chandra Mouli

Application No.: 10/602,716

Art Unit: 2811

Filed: June 25, 2003

Examiner: Colleen Ann Matthews

For: TAILORING GATE WORK-FUNCTION IN

**IMAGE SENSORS** 

## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office Customer Window, Mail Stop Amendment Randolph Building 401 Dulany Street Alexandria, VA 22314

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, the Examiner's attention is directed to the additional reference listed on the attached Form PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of the above-captioned application and that the reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom. A copy of the listed reference is attached.

The reference was cited in an Office Action, dated October 31, 2006, that issued in a corresponding Korean application. A copy of the Office Action also is attached, together with an English-language translation of same. It is to be noted that

two of the references cited in the Office Action, namely, U.S. Patent Application Publication No. 2002/0190287 and European Patent Application Publication No. EP0798785, were brought to the Examiner's attention in a Supplemental Information Disclosure Statement filed December 14, 2004.

This Second Supplemental Information Disclosure Statement is being filed before the mailing date of a final Office Action or a Notice of Allowance (37 C.F.R. § 1.97(c)).

Each item of information contained in this Second Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Second Supplemental Information Disclosure Statement.

This Second Supplemental Information Disclosure Statement should not constitute an admission that any patent, publication or other information referred to herein is "prior art" unless specifically designated as such. In accordance with 37 C.F.R. § 1.97(g), the filing of this Second Supplemental Information Disclosure Statement should not be construed to mean that a search has been made. This Second Supplemental Information Disclosure Statement is believed to be in compliance with 37 C.F.R. § 1.98 and the Examiner is respectfully requested to consider the listed reference.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm), or credit any overpayment, to Deposit Account No. 04-1073, under Order No. M4065.0904/P904.

Dated: December 7, 2006

Respectfully submitted,

Thomas J. D'Amico

Registration No. 28,371 DICKSTEIN SHAPIRO LLP 1825 Eye Street, NW

Washington, DC 20006-5403 (202) 420-2200

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sut	bstitute for form 1449/PTO			Complete if Known		
				Application Number	10/602,716	
11	NFORMATION	1 DI	SCLOSURE	Filing Date	June 25, 2003	
l s	TATEMENT E	3Y /	APPLICANT	First Named Inventor	Chandra Mouli	
-		_ •		Art Unit	2811	
	(Use as many she	eets as	s necessary)	Examiner Name	Colleen Ann Matthews	
Sheet	1	of	1	Attorney Docket Number	M4065.0904/P904	

U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			

FOREIGN PATENT DOCUMENTS									
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages				
	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
magazine, journal, serial, symposium, catalog, etc.), date, pagazine, pagazi		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>			
		BAOHONG CHENG et al., "The Impact of High-κ Gate Dielectrics and Metal Gate Electrodes on Sub-100 nm MOSFET's," IEEE Transactions on Electron Devices, Vol. 46, No. 7, July 1999, pp. 1537-1544				

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Date Signature Considered			~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
Signature Considered	Examiner	Date	
	Signature		

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.